

7th Annual Conference



April 24th 2018 at The Carlu, Toronto, ON

8:15 – 9:00 Breakfast – Sponsor: Invesco



9:00 – 9:30 MC / Opening Remarks: **Chuck Grace**, Managing Partner and President, Bigger Picture Solutions Inc.

Digital technology creates an opportunity for better advice. Most of the technology already exists and would be able to augment the work of human advisors – not take it away. There are some tasks that are better performed by machines, while others only work in the hands of humans. If these are integrated into the financial services industry in the right way, the evidence suggests that they will lead to better outcomes for clients.

Sponsor: Mackenzie Investments



9:30 – 11:00 **Lionel Laroche**, an engaging, funny, thought provoking presenter who knows that your environment is changing as fast as the global economy. More than ever before we interact with people who differ from us, clients and potential clients, fund company wholesalers, co-workers, everywhere, and this brings both challenges and opportunities. Learn how to manage diversity and turn challenges into opportunities to achieve your business goals.

Sponsor: Fundserv

11:00 – 11:15 COFFEE BREAK / Sponsor: Fidelity Investments

11:15 – 12:00 Inside the OSC's & MFDA's Whistleblower Programs

Do you have inside knowledge of, or suspect, a possible violation of Ontario securities law that could harm investors? Do you have knowledge or evidence of potential unethical or illegal activity or contraventions by MFDA Members or Approved Persons of MFDA By-laws, Rules, Policies or Canadian securities requirements? What are the differences? What should you do? What happens next?



André J. Moniz, Manager, Office of the Whistleblower, Enforcement Branch, Ontario Securities Commission



Shaun Devlin, Senior Vice-President, Member Regulation – Enforcement, MFDA

Sponsor: Vexo-Justwealth & CETFA

12:00 – 1:00 LUNCH / Sponsor: Fundata

Lunch Speaker: Sara Runnalls, FCIP, CRM
Vice President and Associate - Public Sector Risk Advisor
BFL CANADA
Cybersecurity and Your Business

1:00 – 2:30 Back by Popular demand the Legal Panel & it's Interactive this year!

Toronto has some of the best securities lawyers in the country, and we've got three of them here for another not-to-be missed session. They'll talk about all the latest regulatory changes, experiences in compliance and enforcement, what to expect, how to prepare and more!



Ellen Bessner, Partner, Babin Bessner Spry LLP



Rob Brush, Partner, Crawley MacKewn Brush LLP



Dave Di Paolo, Partner, Borden Ladner Gervais

Sponsor: B2B Bank

2:30 – 2:45 COFFEE BREAK / Sponsor: Fidelity Investments

Federation of Mutual Fund Dealers
Fédération des courtiers en fonds mutuels

2:45 – 3:30 Mutual Fund Dealers Association of Canada

Mark and Brett will cover new business lines e.g. ETFs, on-line advice platforms, what we're seeing, what we're hearing from Members; the CE Rule due to publish for comment in March; cyber security issues, and there'll be time for a little Q & A.



Marc Guerin, Director, Member Education, MFDA



Brett Konyu, Manager, Member Education, MFDA

Sponsor: Learnedly

3:30 – 4:30 The Challenge of Change

These panellist's backgrounds are diverse, and they've made another change in their careers lately. They're seeing our industry and all that's going on with 'new eyes' and I'm guessing some optimism, and we'll get to the realism too.



Karen Adams, President, Fundserv Inc.



Michael Stanley, President, Sterling Mutuals



Jordy Chilcott, Head of Investment Distribution, Sun Life Global Investments



Moderator: Jason MacKay, Head of Wealth Management Intermediaries, Invesco Canada Ltd.

Sponsor: Equisoft

4:30 Closing Remarks & Sponsor Prize Draws

4:45 – 6:00 COCKTAILS / Sponsor: Broadridge Financial Solutions Inc.

OUR WONDERFUL SPONSORS!

KEYNOTE:

COCKTAIL RECEPTION:

COMMERSPHERE VIRTUAL CONFERENCE:

LUNCH:

SESSIONS:

BREAKFAST:

A.M. & P.M. BREAKS

CHARGING STATION:

BOOTH:

MEDIA:

FUNDSERV

BROADRIDGE

WINFUND / RPM

FUNDATA

B2B BANK
EQUIISOFT
LEARNEDLY
MACKENZIE INVESTMENTS
Vexo-Justwealth & CETFA

INVESCO

FIDELITY INVESTMENTS

HOME TRUST

CWB MAXIUM FINANCIAL
DOXIM
EQUITABLE BANK
FRANKLIN TEMPLETON
INFORMCO
STREET CAPITAL BANK OF CANADA
VIGILANTCS

INVESTMENT EXECUTIVE